BRAZIL CROAKER FIP

SPECIFIC AGREEMENT N°1

This Specific Agreement is entered into by **Beaver Street Fisheries**, **Inc.**, with domicile in 1741 W. Beaver Street Jacksonville, FL 32209, USA, represented by Casey R. Marion, hereinafter referred to as Beaver Street Fisheries and **Centro Desarrollo y Pesca Sustentable**, hereinafter referred to as CeDePesca, with domicile in Edificio Jardines de Vista Hermosa, PB-F, Panama City, Panama, represented by Ernesto Godelman., within the context and guidelines provided by the FIP Framework Agreement.

The FIP Partners agree to the following:

First: FIP Partners agree to adopt the FIP Action Plan for the Brazil croaker *(Umbrina canosai)* trawl and bottom gillnet fishery that is included as Annex I to this Specific Agreement. The FIP will be developed in accordance with the guidelines from the Conservation Alliance for Sustainable Seafood, with the ultimate objective of achieving a certifiable status against the MSC standard.

Second: In order to implement the activities in the Action Plan, the FIP partners commit to providing the support of their staff in Brazil, and to requiring and providing the technical data and information needed, as well as to actively participate from data collection activities and other activities that encourage participation from fishers associated to their supply chain.

Third: In order to implement the FIP Action Plan, Sea Beaver Street Fisheries (and any other parties subsequently joining in accordance with Clause Sixth of the Framework Agreement) commits to providing a financial contribution to assist with the costs of implementing improvement activities. Annex I contains the associated budget for the first 12-month period (Year 1) and is hereby approved by FIP partner.

Fourth: Funds for Year 1 will be provided in three installments as described in Annex I. The first installment (40% of the funds) will be made available with the signing of this Specific Agreement in March 2022; the second installment (40% of the funds) will be made available in October 2022 against the delivery of a first interim narrative and financial report to be provided by CeDePesca, and the third installment (20% of the funds) will be made available the delivery of a second interim narrative and financial report to be provided by CeDePesca.

Fifth: Annex I also contains a preliminary budget for the full 5-yr period. This preliminary budget is presented for reference purposes only. The budgets for subsequent 12-month periods (i.e. Year 2 through Year 5) shall be reviewed by FIP partners on a yearly basis, and will be agreed upon by signing new Specific Agreements.

Sixth: The FIP Partners agree to review the FIP Action Plan in February 2023 in light of advances made up to that date and to amend the Action Plan as considered necessary. The next 12-month FIP budget will also be discussed at that time. Any changes to the Action Plan and the next 12-month FIP budget will be adopted by signing an addendum to this Specific Agreement.

Seventh: This Specific Agreement is controlled by the general clauses set in the FIP's Framework Agreement.

This Specific Agreement is signed in two originals of equivalent legal value.

On behalf of Beaver Street Fisheries, Inc

Casey R. Marion

By: Casey R. Marion Title:

On behalf of CeDePesca

By: Ernesto Godelman Title: Executive Director



ANNEX I Brazil Croaker (Umbrina canosai) Trawl and Bottom Gillnet Fishery <u>Fisheries Improvement Project</u> Action Plan - 5 Years

January 2022

The Brazil croaker (*Umbrina canosai*) trawl and bottom gillnet fisheries have been pre-assessed against the Marine Stewardship Council (MSC) standard. The MSC pre-assessments (MSC PAs) were conducted by CeDePesca on request from Beaver Street Fisheries, following a Memorandum of Understanding signed on February 24th, 2021.

According to the publicly available information, as well as to other data provided by the fishery stakeholders, the evaluation team concluded that the croaker (*Umbrina canosai*) trawl and bottom gillnet fishery in Brazil does not meet the MSC standard and recommends entering a Fishery Improvement Project to face the sustainability challenges.

Based on the main results of the MSC PAs and the recommendations issued for the performance indicators to reach scores equal to or greater than 80 points, CeDePesca recommends the implementation of an initial action plan for 5 years. FIP partners acknowledge that the implementation period might need to be extended, depending on the rate of progress on the ground, and any extensions will be discussed and agreed upon in a timely fashion. As the FIP Action Plan presented below will also require the participation of other stakeholders, especially the Brazilian Secretariat of Aquaculture and Fisheries of the Ministry of Agriculture, Livestock and Food – SAP/MAPA and fishermen, some tasks may take more or less time to complete, depending on the political will of government officials and the capacity of institutions to take concrete action.

On the other hand, given the COVID-19 pandemic, activities that require in-person gatherings or meetings might be delayed for safety reasons or because of measures taken by health authorities. In such cases, activities will be adapted to be conducted virtually, if possible; or will be postponed or replaced, when not possible.

FIP partners are aware that after three years of publishing a FIP profile in the FisheryProgress platform, the guidelines of the Conservation Alliance for Seafood Solutions require an audit of the project. The FIP Audit should be carried out by a third party independent from the project and it should include a field trip.



Action Plan (5 Years)

To achieve the specific objectives of this FIP, the following Actions and Tasks will be implemented:

- Acción 1.
 Promotion of improvements in fishery management and research systems

 [Addresses MSC PIs: .1.1, 1.1.2, 1.1.3, 1.2.1, 1.2.2, 1.2.3, 1.2.4, 2.1.3, 2.2.2, 2.2.3, 2.3.3, 2.4.2, 2.4.3, 2.5.2, 2.5.3, 3.1.1, 3.2.1, 3.2.2, 3.2.3, 3.2.4]
 - Task 1.1. Participate in meetings with national fisheries authorities to advance the improvement of fishery management, monitoring, and research systems.
 - Task 1.2. Encourage the reinstatement and participate formally or informally in the meetings of the Permanent Management Committee (CPGL) and present management proposals in line with FIP.
 - Task 1.3. To encourage government institutions to resume data collection programs and to include aspects related to other components of the ecosystem (secondary species, ETP and habitats).
 - Task 1.4. Review the fishery-specific management objectives that are currently established and promote improvements.
 - Task 1.5. Encourage government and research entities to update and carry out regular assessments of stocks considering the catches of trawl and bottom gillnet fisheries.
 - Task 1.6.Establish specific management measures for Umbrina canosai that consider the
current state and structure of the stock, including harvest control rules and tools.

Action 2. Implement data collection programs to improve knowledge on the impact of the fisheries on other ecosystem components.

[Addresses MSC PIs: 2.1.1, 2.1.3, 2.2.1, 2.2.3, 2.3.1, 2.3.3, 2.4.1, 2.4.3, 2.5.1, 2.5.3]

- Task 2.1. Implement the trawl fishery's onboard observers' program and prepare annual technical reports on the interaction of the fishery with other ecosystem components.
- Task 2.2. Implement the bottom gillnet fishery's onboard observers' program and prepare annual technical reports on the interaction of the fishery with other ecosystem components.
- Task 2.3. Carry out an evaluation of indicators of Principle 2 with the information obtained from onboard observers' program.
- Task 2.4. Conduct workshops and interviews with fishermen to collect information for the indicators that are not covered and on how to collectively address negative impacts of the fisheries on medium and high-risk elements, if necessary.
- Action 3. Conduct risk analyses to estimate the impact of the fisheries on other ecosystem components

[Addresses MSC PIs: 2.2.1, 2.3.1, 2.4.1, 2.5.1]

- Task 3.1. Conduct Productivity and Susceptibility Analysis to estimate the risk posed by the fisheries to ETP species and to main primary and secondary species in both fisheries.
- Task 3.2. Conduct a Spatial Consequence Analysis (CSA) to assess the impact of fishing activity on habitat structure and function.



- Task 3.3. Conduct Scale, Intensity and Consequence Analysis to estimate the risk posed by the fisheries to the ecosystem as a whole.
- Action 4. Adopt management strategies to address negative impacts on other ecosystem components

[Addresses MSC PIs: 2.2.2, 2.3.2 2.4.2, 2.5.2]

- Task 4.1.Share the risk analysis' reports and management recommendations with the CPG,
government officials and other interested stakeholders.
- Task 4.2. Promote management measures or changes in fishing practices based on risk analysis results, if necessary.

COMPLEMENTARY ACTIONS

Action A. Disseminate project progress among partners and other interested parties

- Task A.1. Conduct meetings with partners to discuss the development of the project and analyze progress and further steps.
- Task A.2. Keeping the page on the CeDePesca website with FIP advances updated every six months.
- Task A.3. Keep the project monitoring and evaluation tools updated annually (MSC Benchmarking Tool, SFP ranking tool).
- Task A.4. Profile the FIP on FisheryProgress.org and keep it updated semiannual.
- Task A.5. Disseminate FIP advances at international fairs and events of interest to participating partners in the project.
- Task A.6. Keep PROME members informed about the activities carried out and promote their participation in those actions that require them.
- Task A.7. Submit semiannual reports to partners, similar to the one published on the website, but with additional details that are of interest to them and not for public use and an annual report.
- Task A.8. Outreach about the FIP with local players from the private, academic and public sectors to gain support to its membership and activities.

Action B. FisheryProgress Social Policy Implementation

- Task B.1. Coordinate the signing of FisheryProgress Human Rights Code of Conduct.
- Task B.2. Complete a self-assessment against FisheryProgress criteria for increased risk of forced labor and trafficking in persons.
- Task B.3. Provide information about the vessels included in FIP.
- Task B.4. Demonstrate that there are grievance mechanisms available to fishermen.
- Task B.5. Make efforts to make fishermen aware of their rights.

Action C. Three-year FIP audit (required by CASS guidelines and FisheryProgress.org).

- Task C.1. Hire a 3-year audit for the FIP (to be conducted by an independent party)
- Task C.2. Share the results of the audit in the FisheryProgress' FIP profile.



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Timetable – Action Plan



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Timetable – Action Plan



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TRANSACTION DETAILS

Reference Number 2981234D-CC38-4EF7-A118-13913F5890D7

Transaction Type Signature Request Sent At

03/18/2022 10:26 EDT **Executed At**

03/22/2022 12:14 EDT

Identity Method email Distribution Method email

Signed Checksum

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Signer Sequencing Disabled Document Passcode Disabled

SIGNERS

SIGNER

Name Casey Marion Email cmarion@seabest.com Components 1

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Status signed Multi-factor Digital Fingerprint Checksum

IP Address 162.254.8.98 Device Chrome via Windows

Typed Signature

Casey R. Marion

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Name Ernesto Godelman Email ernesto.godelman@cedepesca.net Components

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Status signed Multi-factor Digital Fingerprint Checksum 24dc400f2a984e4227f879c23c7a593e5f1eb68a4017f5bb224119d47f14c6f6

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AUDITS

TIMESTAMP	AUDIT
03/18/2022 10:26 EDT	Elba Brunetti (elba.brunetti@cedepesca.net) created document 'brazil_croaker_fip_specific_agreement_n1.pdf' on Chrome via Windows from 190.195.229.42.
03/18/2022 10:26 EDT	Ernesto Godelman (ernesto.godelman@cedepesca.net) was emailed a link to sign.
03/18/2022 10:26 EDT	Casey Marion (cmarion@seabest.com) was emailed a link to sign.
03/18/2022 10:34 EDT	Ernesto Godelman (ernesto.godelman@cedepesca.net) viewed the document on Firefox via Android from 186.188.203.240.
03/18/2022 10:35 EDT	Ernesto Godelman (ernesto.godelman@cedepesca.net) authenticated via email on Firefox via Android from 186.188.203.240.
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